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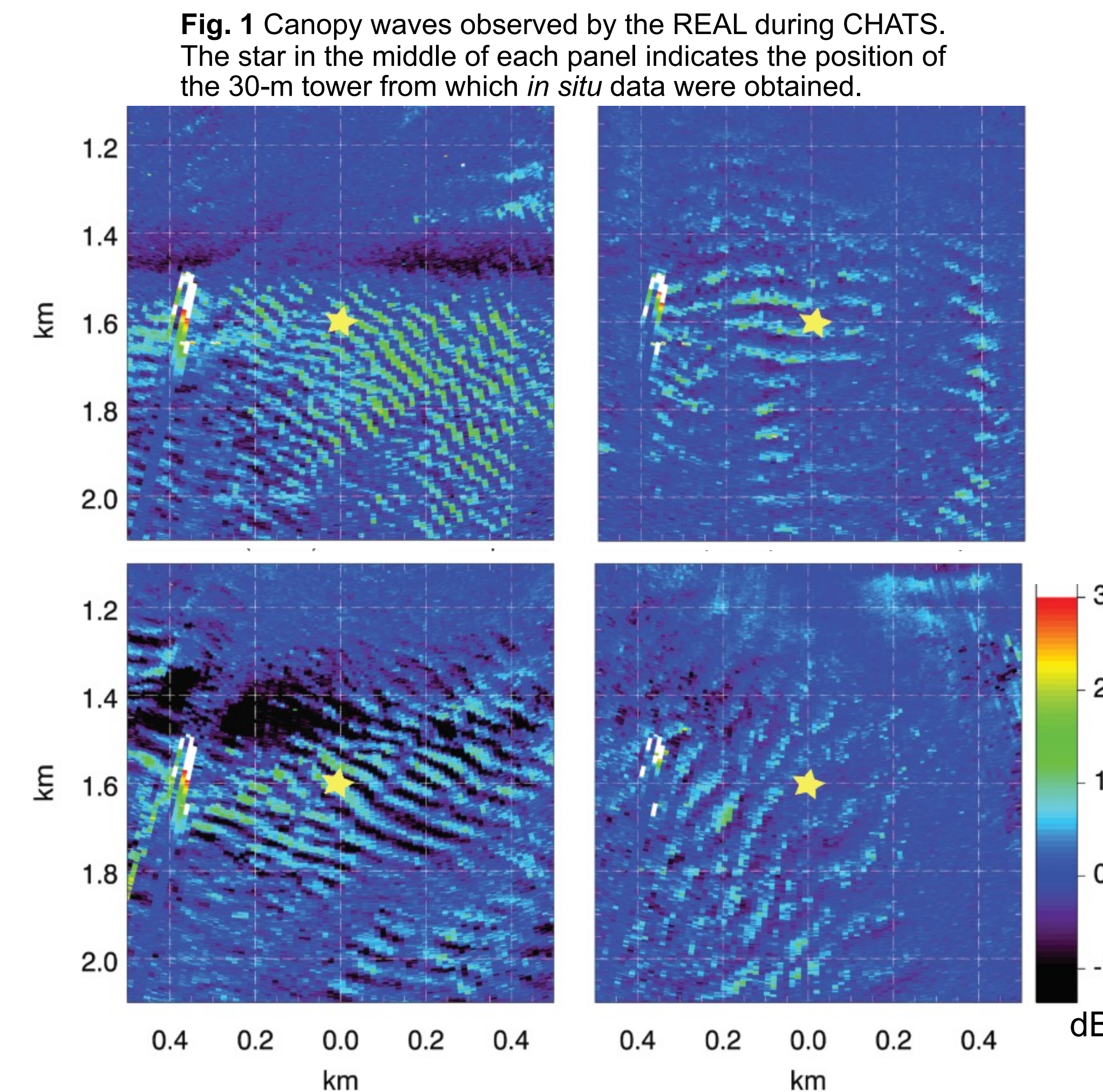
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Questions?  
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## Introduction

We test the hypothesis that internal waves observed in flow over forest canopies are generated by Kelvin-Helmholtz instability.

- Waves were observed at night, under stably stratified and weak wind conditions, with a horizontally scanning aerosol lidar and an instrumented tower.
- Lidar images determine the time, wavelength and phase propagation velocity of each episode.
- Tower data provide vertical profiles of background velocity and buoyancy just before each wave event. Profiles are input to an extended Taylor-Goldstein equation to predict phase velocity, wavelength and period of the fastest-growing linear instability.
- Observed wavelengths are longer than predicted by the Taylor-Goldstein theory, typically by a factor of two. That discrepancy is removed when the theory is extended to account for the effects of ambient, small-scale turbulence.



## KH Instability theory

Normal mode perturbation

$$w'(x, y, z, t) = \text{Real}[\hat{w}(z) e^{\sigma t + i(kx + \ell y)}]$$

applied to background wind  $U(z)$  and buoyancy  $B(z)$ :

$$\sigma \begin{bmatrix} \nabla^2 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \hat{w} \\ \hat{b} \end{bmatrix} = \begin{bmatrix} -i k U \nabla^2 + i k \frac{d^2 U}{dz^2} + T_w + V_w & -k^2 \\ -\frac{dB}{dz} & -i k U + T_b + V_b \end{bmatrix} \begin{bmatrix} \hat{w} \\ \hat{b} \end{bmatrix}$$

where:  $\nabla^2 = \frac{d^2}{dz^2} - k^2$

Vegetation effects (Lee 1997):

$$V_w = -C_d \frac{d}{dz} \left[ L_f |U| \frac{d}{dz} \right] + k^2 C_d L_f |U|; \quad V_b = -C_h L_f |U|$$

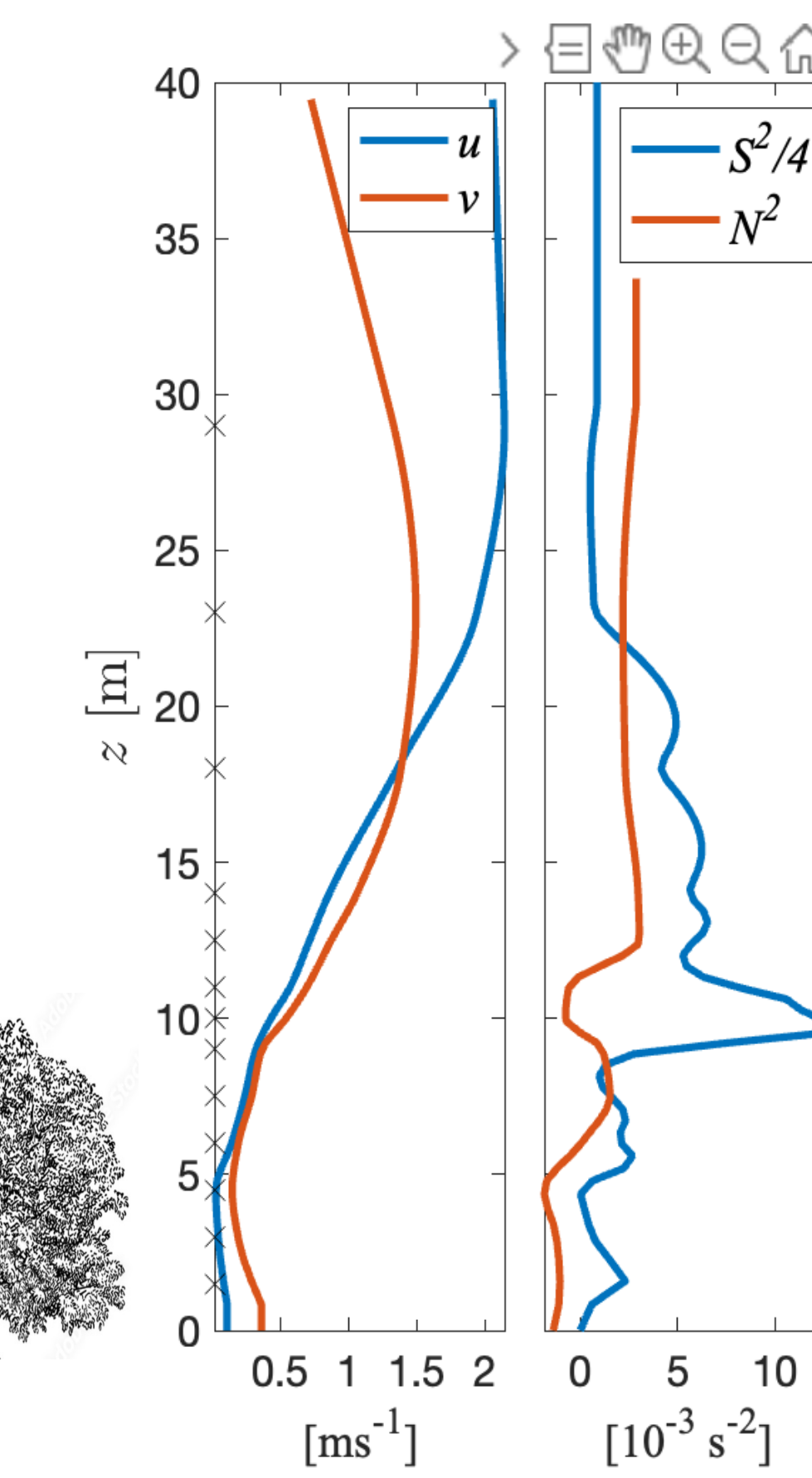
$L_f = \text{leaf fraction}$

Ambient turbulence effects:

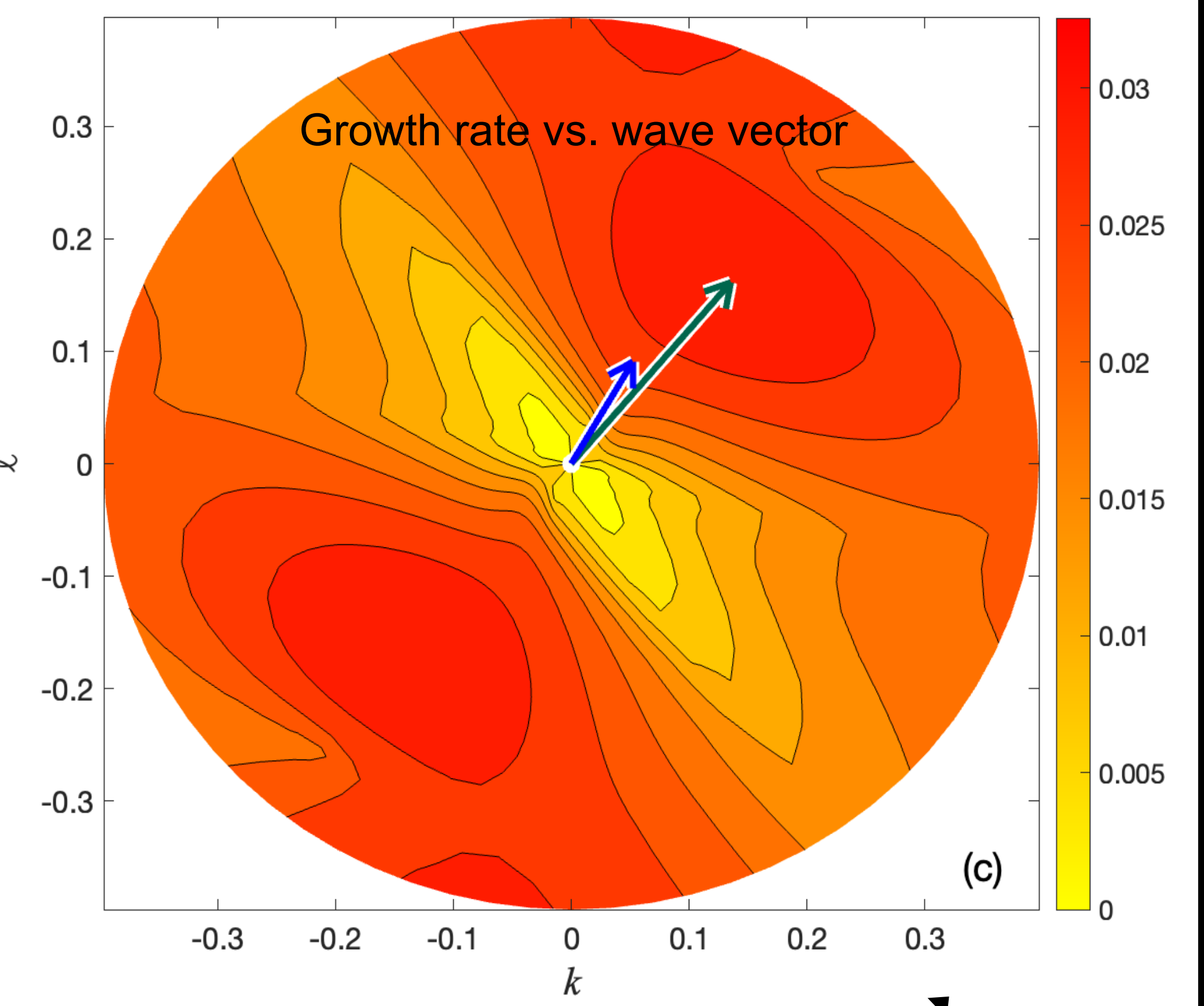
$$T_w = A \nabla^4; \quad T_b = K \nabla^2$$

$A = \text{uniform eddy viscosity}$   
 $K = \text{uniform eddy diffusivity}$

## Sample episode



Taylor-Goldstein model:  $T = V = 0$



Just above treetop height:

- Strong shear
- Weak stratification

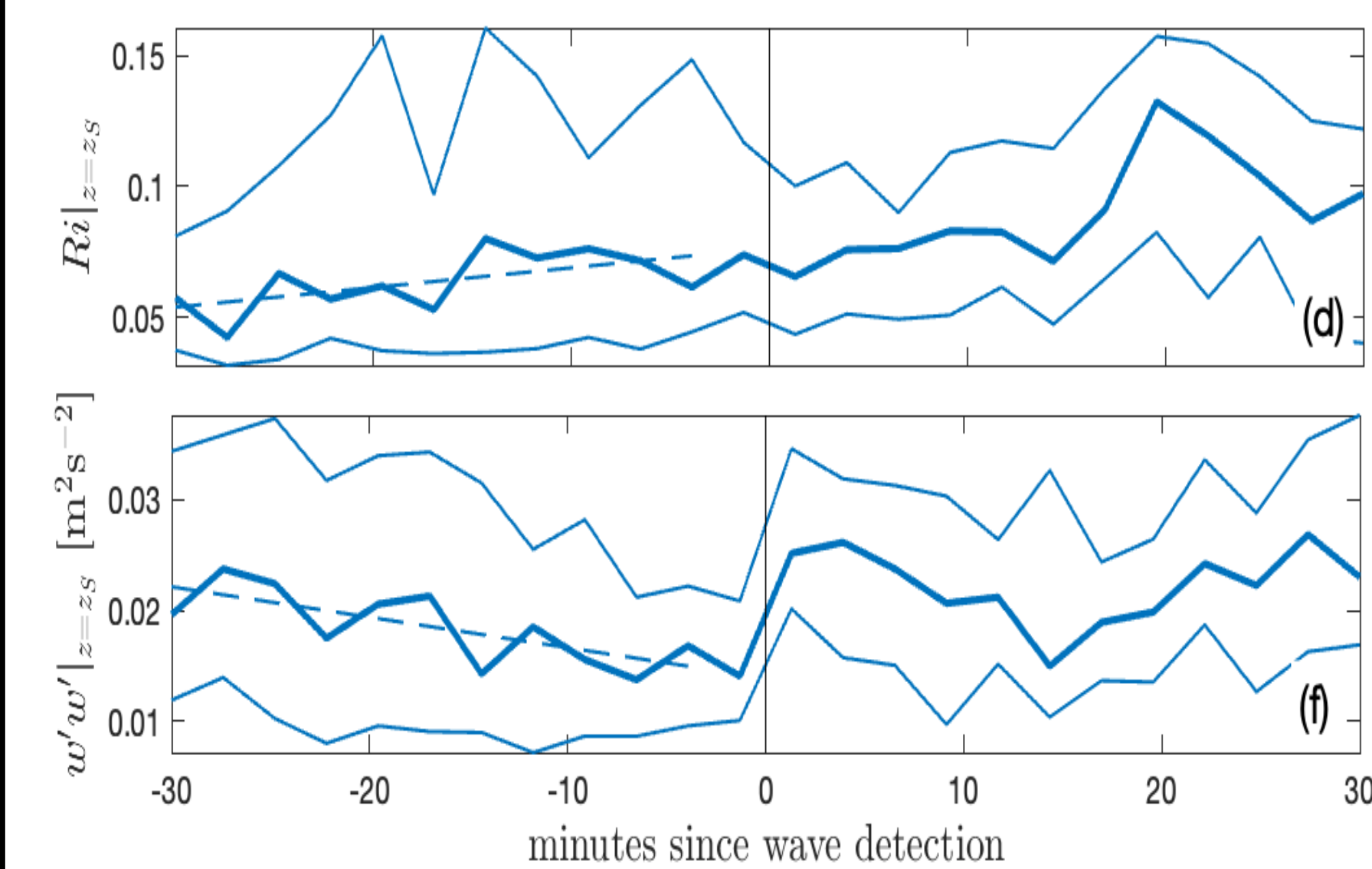
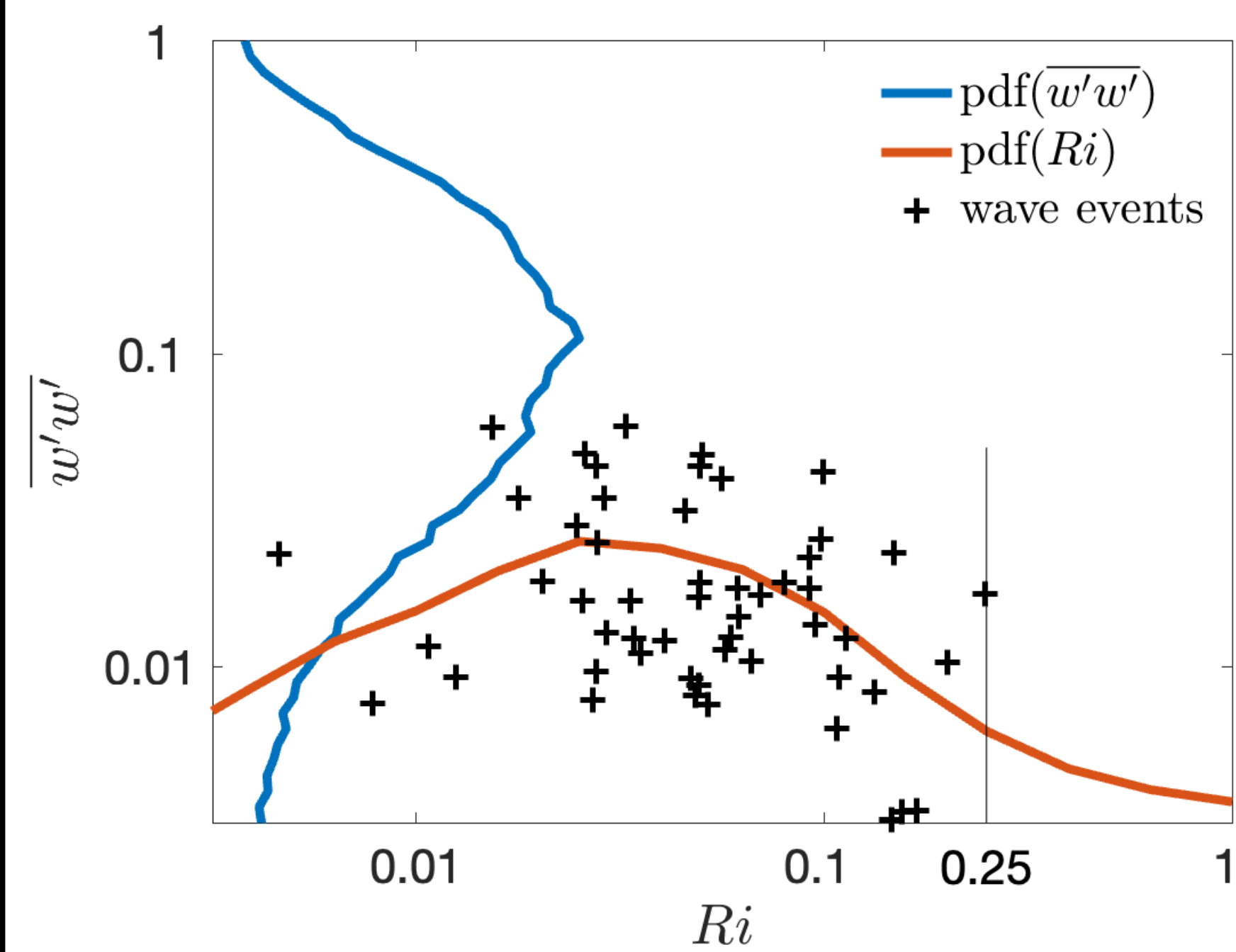
Fastest-growing KH mode:

- Direction matches observation
- But wavelength too short by half.

## Background conditions

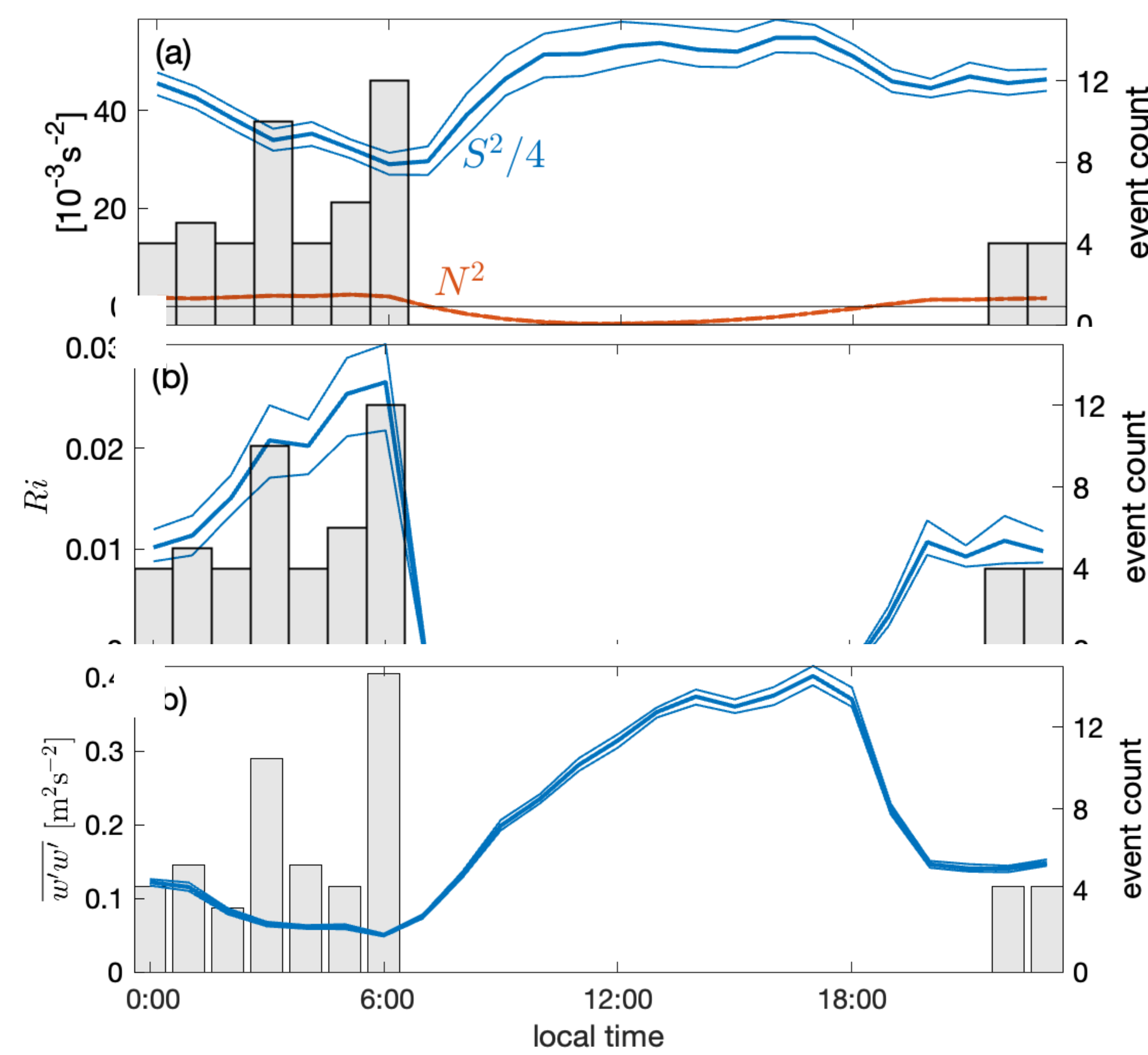
Waves emerge when surface layer is:

- Weakly sheared: Richardson number  $Ri$  is slightly larger than usual but  $< 0.25$ .
- Calm: Vertical velocity variance  $\overline{w'w'}$  is smaller than usual.

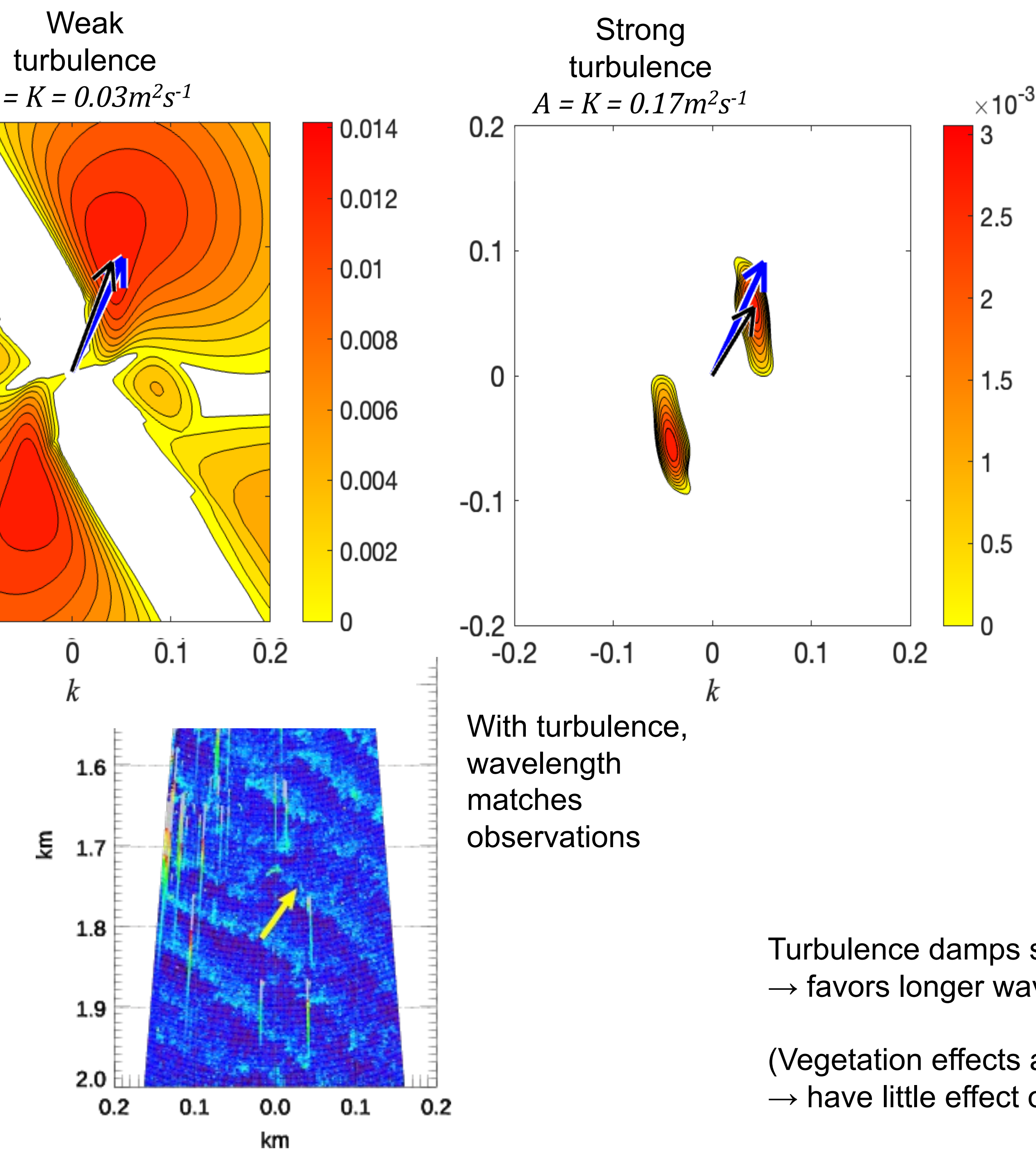


Events are preceded by:

- increasing  $Ri$ ,
- decreasing  $w'w'$ .



Calm, weakly sheared conditions conducive to wave growth are most common just before sunrise.

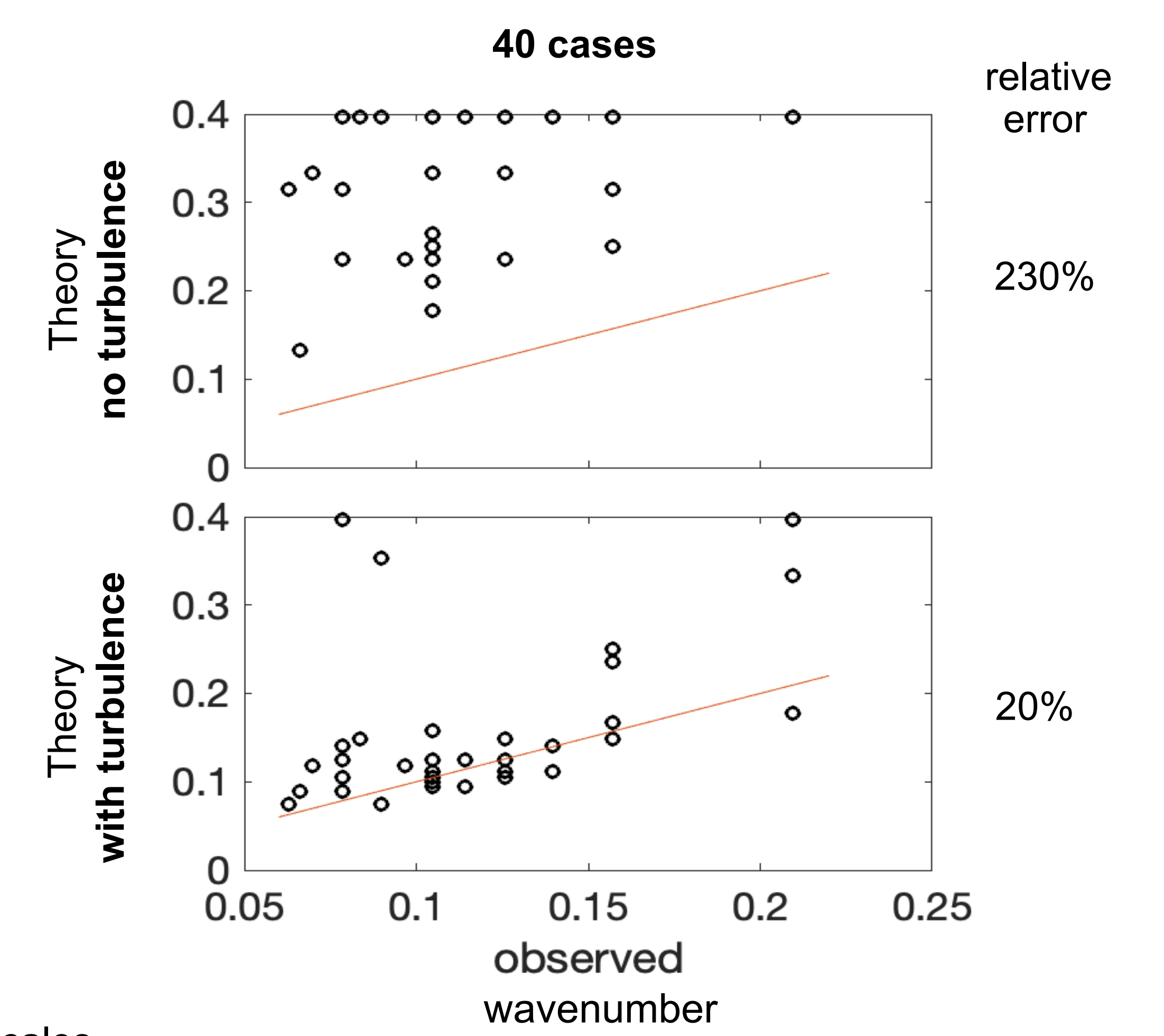


With turbulence, wavelength matches observations

Turbulence damps small scales → favors longer wavelengths.

(Vegetation effects are scale-independent, → have little effect on wavenumber.)

## Ambient turbulence effects



## References

Lee X, 1997: Gravity waves in a forest: A linear analysis. *J Atmos Sci* 54:2574–2585.  
Mayor SD, 2017: Observations of microscale internal gravity waves in very stable atmospheric boundary layers over an orchard canopy, *Agric. For Meteorol.* 244-245:136-150.  
Smyth, WD, SD Mayor and Q Lian, 2022: The role of ambient turbulence in canopy wave generation by Kelvin-Helmholtz instability, *Boundary Layer Met.* (in review).

## Conclusions

- Canopy waves occur when ambient turbulence is weak enough to permit their growth. This state is often reached just before sunrise.
- Canopy waves may be understood as KH billows only when the effects of ambient turbulence are accounted for.